



PATENT Customer No. 22,852 Attorney Docket No. 05725.1225-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Stéphane SABELLE et al.) Group Art Unit: 1624
Application No.: 10/612,986) Examiner: Unassigned
Filed: July 7, 2003 For: PARA-PHENYLENEDIAMINE DERIVATIVES CONTAINING A PYRROLIDYL GROUP, AND USE OF THESE DERIVATIVES FOR COLORING KERATIN FIBERS)) Confirmation No.: 6380))))
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Sir:	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Copies of U.S. patents, patent publications, and co-pending applications which are available on the Image File Wrapper System are not enclosed.

The United States Court of Appeals for the Federal Circuit held in *Dayco*Products, Inc. v. Total Containment, Inc., 329 F.3d 1358, 66 U.S.P.Q.2d 1801 (Fed. Cir.

2003), that an "adverse decision" by another examiner may meet the materiality standard under the amended Rule 56, and thus, Applicants should disclose prior rejections of "substantially similar claim[s]" to the Office. Accordingly, although Applicants are not representing that the office actions in the co-pending applications are material to the present application and are not admitting that any of the other claims are substantially similar, out of an abundance of caution, Applicants have listed the substantive office actions filed in co-pending applications on the attached form.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

The following is a statement regarding the English language documents.

- 1. <u>DE 100 34 617 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 2. <u>DE 299 01 593</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 3. <u>DE 299 02 262</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 4. <u>DE 42 34 886 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 5. <u>DE 42 41 532</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 6. **EP 0 488 909** This document is believed to be related to U.S. Patent No. 5,249,740.

- 7. **EP 0 714 954** This document is believed to be related to U.S. Patent No. 5,708,151.
- 8. **EP 0 943 614 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 9. **EP 1 018 508 A1** This document is believed to be related to U.S. Patent No. 6,464,734.
- 10. <u>FR 1 400 366</u> This document is believed to be related to British PatentNo. GB 1 021 400, which is submitted herewith.
- 11. FR 2 075 583 This document is believed to be related to U.S. Patent No. 3,758,309.
- 12. <u>FR 2 270 846</u> This document is believed to be related to U.S. Patent No. 5,196,189.
- 13. FR 2 316 271 This document is believed to be related to U.S. Patent No. 4,608,250.
- 14. <u>FR 2 320 330</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 15. **FR 2 336 434** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 16. FR 2 413 907 This document is believed to be related to U.S. Patent No. 4,349,532.
- 17. FR 2 750 048 This document is believed to be related to U.S. Patent No. 6,099,593.

- 18. FR 2 766 178 A1 An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 19. <u>JP 1-115048</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 20. <u>JP 58-42045</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 21. <u>JP 59-162548</u> This document is believed to be related to U.S. Patent No. 4,500,630.
- 22. <u>JP 59-171956</u> This document is believed to be related to U.S. Patent No. 4,540,654.
- 23. <u>JP 59-98437</u> This document is believed to be related to U.S. Patent No. 6,099,592.
- 24. <u>JP 59-99437</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 25. <u>JP 60-172982</u> This document is believed to be related to U.S. Patent No. 4,621,046.
- 26. <u>JP 60-190779</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 27. <u>JP 60-33552</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 28. <u>JP 60-43659</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

- 29. <u>JP 62-279337</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 30. <u>JP 6-236011</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 31. **JP 7-244361** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 32. <u>JP 7-325375</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 33. <u>JP 7-36159</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 34. <u>JP 7-84348</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 35. <u>JP 7-92632</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 36. <u>JP 7-98489</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
- 37. <u>JP 88-169,571</u> It is believed that this is the Japanese application that resulted in issued Japanese Patent No. JP 2-19576, an English language Derwent abstract of which was submitted on July 7, 2003. Applicants were not able to obtain a copy of this application.
- 38. **WO 98/01106** An English language abstract of the disclosure of this document can be found on the front of this document.

- 39. WO 98/38175 This document is believed to be related to U.S. Patent No. 6,165,230.
- 40. **WO 99/03819** An English language abstract of the disclosure of this document can be found on the front of this document.
- 41. **WO 99/17725** An English language abstract of the disclosure of this document can be found on the front of this document.
- 42. **Hans Beyer et al.,** "Über die Pyrazolbidung aus α -Chlor-acetessigester und Thiocarbohydrazid", Chemische Berichte, is cited on page 24 of co-pending application no. 09/959,913.
- 43. **Mohamed Elnagdi et al.,** "Routes for the Synthesis of 3,5-Diaminopyrazoles, 2-Aminopyrazolo[1,5-a]pyrimidines and 5-Aminopyrazolo[1,5-a]pyrimidines", Journal Für Praktische Chemie, is cited on page 27 of co-pending application no. 09/959,913.
- 44. **R. Stollé**, "Ueber die Ueberführung der secundären Säurehydrazide in Derivate des Furodiazols, Pyrrodiazols und Thiodiazols", Berichte Der Deutschen Chemischen Gesellschaft, is cited on page 24 of co-pending application no. 09/959,913.
- 45. **Lidia Wyżgowska, et al.,** "O ReakcjachTrikarboetoksymetanu", Acta Poloniae Pharmaceutica, is cited on page 27 of co-pending application no. 09/959,913.
- 46. **E. Hannig et al.,** "Kurze Orginalmitteilungen", Die Pharmazie, is cited on page 27 of co-pending application no. 09/959,913.
- 47. **Giuliana Cardillo et al.,** "Sulle 1,2-difenil-3,5-dichetopirazolidine",
 Gazzetta Chimica Italiana, is cited on page 27 of co-pending application no. 09/959,913.

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- 48. **Eser Ilhan, et al.,** "Synthese von 6-Benzyliden-2- $(\alpha, \alpha$ -diphenyl- α -hydroxyacetyl)-thiazolo[3,2-b]-s-trialzol-5-onen als potentiell biologisch wirksame Stroffe", Archiv der Pharmazie, is cited on page 29 of co-pending application no. 09/959,913.
- 49. **Thomas Kauffman et al.,** "Synthese von Amidrazonen aus Nitrilen und Natriumhydrazid", Chemische Berichte, is cited on page 25 of co-pending application no. 09/959,913.
- 50. <u>English language Derwent Abstract of DE 195 43 988</u> The reference DE 195 43 988 was previously submitted on July 7, 2003. Applicants now submit the Derwent abstract for consideration.
- 51. <u>English language Derwent Abstract of DE 23 59 399</u> The reference DE 23 59 399 was previously submitted on July 7, 2003. Applicants now submit the Derwent abstract for consideration.
- 52. <u>English language Derwent Abstract of DE 38 43 892</u> The reference DE 38 43 892 was previously submitted on July 7, 2003. Applicants now submit the Derwent abstract for consideration.
- 53. English language Derwent Abstract of DE 41 33 957 The reference DE 41 33 957 was previously submitted on July 7, 2003. Applicants now submit the Derwent abstract for consideration.
- 54. <u>English language Derwent Abstract of FR 2 586 913</u> The reference FR 2 586 913 was previously submitted on July 7, 2003. Applicants now submit the Derwent abstract for consideration.

- 55. <u>English language Derwent Abstract of FR 2 733 749</u> The reference FR 2 733 749 was previously submitted on July 7, 2003. Applicants now submit the Derwent abstract for consideration.
- 55. <u>French Search Report for 02/08514</u> This search report was previously submitted on July 7, 2003, however it was not listed on the PTO form 1449. Applicants now request that the Examiner note that this reference was considered.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: February 17, 2005

Deborah M. Herzfeld

(formerly Deborah M. Sharfman)

Reg. No. 52,211

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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(Use as many sheets as necessary)

Sheet 1 of

	Complete if Known	OIPE
Application Number	10/612,986	1 3
Filing Date	July 7, 2003	FEB 1 7 2005
First Named Inventor	Stephane SABELLE	P. 2000 L.
Art Unit	1624	TEL. CE
Examiner Name	Unassigned	PARCHARY
Attorney Docket Number	05725.1225-00000	TAUEN

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS				
Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	2,261,002	10/28/1941	Ritter	
	2	2,271,378	1/27/1942	Searle	
	3	2,273,780	2/17/1942	Dittmar	
	4	2,375,853	5/15/1945	Kirby et al.	
	5	2,388,614	11/6/1945	Kirby et al.	
	6	2,454,547	11/23/1948	Bock et al.	
	7	3,061,432	10/30/1962	Menzel et al.	
	8	3,206,462	9/14/1965	McCarty	
· · · · · · · · · · · · · · · · · · ·	9	3,227,554	1/4/1966	Barr et al.	
	10	3,419,391	12/31/1968	Young	1.
	11	3,725,067	4/3/1973	Bailey et al.	***
	12	3,758,309	9/11/1973	Bailey et al.	
	13	3,874,870	4/1/1975	Green et al.	
	14	3,915,921	10/28/1975	Schlatzer, Jr.	
	15	3,926,631	12/16/1975	Arai et al.	
	16	3,929,990	12/30/1975	Green et al.	
	17	3,966,904	6/29/1976	Green et al.	
	18	4,001,432	1/4/1977	Green et al.	
	19	4,005,193	1/25/1977	Green et al.	
	20	4,025,617	5/24/1977	Green et al.	
	21	4,025,627	5/24/1977	Green et al.	
	22	4,025,653	5/24/1977	Green et al.	
	23	4,026,945	5/31/1977	Green et al.	
	24	4,027,020	5/31/1977	Green et al.	-
	25	4,128,425	12/5/1978	Greenwald	
	26	4,157,388	6/5/1979	Christiansen	
-	27	4,349,532	9/14/1982	Vanlerberghe et al.	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS			
Examiner	Date		
Signature	Considered		

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Complete if Known			
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First Named Inventor	Stephane SABELLE		
Art Unit	1624		
Examiner Name	Unassigned		
Attorney Docket Number	05725.1225-00000		

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS				
Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	28	4,390,689	6/28/1983	Jacquet et al.	
	29	4,500,548	2/19/1985	Silva	
	30	4,500,630	2/19/1985	Sato et al.	
	31	4,509,949	4/9/1985	Huang et al.	
	32	4,540,654	9/10/1985	Sato et al.	
	33	4,608,250	8/26/1986	Jacquet et al.	
	34	4,621,046	11/4/1986	Sato et al.	
	35	4,702,906	10/27/1987	Jacquet et al.	
	36	4,719,282	1/12/1988	Nadolsky et al.	
	37	4,842,849	6/1/1989	Grollier et al.	
	38	5,135,543	8/4/1992	Chan et al.	,
	39	5,196,189	3/23/1993	Jacquet et al.	
	40	5,249,740	10/5/1993	Serra Tosio et al.	
	41	5,256,526	10/26/1993	Suzuki et al.	
	42	5,278,034	1/11/1994	Ohki et al.	
	43	5,441,863	8/15/1995	Tang et al.	
	44	5,457,210	10/10/1995	Kim et al.	W
	45	5,538,516	7/23/1996	Audousset et al.	
	46	5,707,786	1/13/1998	Schmuck et al.	
	47	5,708,151	1/13/1998	Mockli	
	48	5,735,908	4/1/1998	Cotteret et al.	
	49	5,769,903	6/1/1998	Audousset et al.	
	50	5,785,717	7/28/1998	Maubru et al.	 ,
	51	5,876,464	3/2/1999	Lim et al.	1,1,
	52	6,042,620	2/28/2000	Braun et al.	
	53	6,099,593	8/8/2000	Terranova et al.	1,-
	54	6,165,230	12/26/2000	Rose et al.	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS		
Examiner	Date	
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Complete if Known				
Application Number	10/612,986			
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First Named Inventor	Stephane SABELLE			
Art Unit	1624			
Examiner Name	Unassigned			
Attorney Docket Number	05725.1225-00000			

Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.	Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	55	6,461,391	10/1/2002	Lim et al.	
	56	6,464,731	10/15/2002	Genet et al.	
	57	6,521,761 B2	2/1/2003	Lim et al.	
	58	6,613,313	9/2/2003	Kimura	
	59	6,638,321 B1	10/28/2003	Genet et al.	
	60	US 2002/0197223 A1	12/26/2002	Kimura	
	61	US-2003/0093866	5/22/2003	Vidal et al.	
	62	US-2003/0150066	8/14/2003	Richard	
	63	US-2004/0064902	4/8/2004	Sabelle et al.	
	64	US-2004/0074013	4/22/2004	Terranova et al.	
	65	US-2004/0078905	4/29/2004	Terranova et al.	
	66	US-2004/0083559	5/6/2004	Sabelle et al.	
	67	US-2004/0088799	5/13/2004	Sabelle et al.	

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			FOREIGN PA	TENT DOCUMENTS		
Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
	68	DE 100 34 617 A1	1/31/2002	Rose		Abstract
	69	DE 299 01 593	4/8/1999	Braun et al.		Abstract
	70	DE 299 02 262	5/16/1999	Braun et al.		Abstract
	71	DE 42 34 886 A1	4/21/1994	Neunhoeffer et al.		Abstract
	72	DE 42 41 532	6/16/1994	Hagemann		Abstract
	73	EP 0 119 860	9/26/1984	Sato et al.		
	74	EP 0 122 324	10/24/1984	Desai		
	75	EP 0 173 109	3/5/1986	Shay et al.		
	76	EP 0 216 479	4/1/1987	Farrar et al.		
	77	EP 0 244 160	11/4/1987	Kaneko et al.		

	Examiner	Date	
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Art Unit	1624		
Examiner Name	Unassigned		
Attorney Docket Number	05725.1225-00000		

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	78	EP 0 285 274	10/5/1988	Romanet et al.		
	79	EP 0 304 001	2/22/1989	Tachibana et al.		
	80	EP 0 456 226	11/13/1991	Suzuki et al.		
	81	EP 0 488 248	6/3/1992	Sato et al.		
	82	EP 0 488 909	6/3/1992	Chave et al.		
	83	EP 0 518 238	12/16/1992	Suzuki et al.		
	84	EP 0 557 851	9/1/1993	Hayashi		
	85	EP 0 578 248	1/12/1994	Yoneyama		
	86	EP 0 714 954	6/5/1996	Mockli		
	87	EP 0 943 614 A2	9/22/1999	Braun et al.		Abstract
	88	EP 0 962 452	12/8/1999	Lim et al.		
	89	EP 1 018 508 A1	7/12/2000	Genet et al.		
	90	FR 1 400 366	4/20/1965	Rouet		
	91	FR 2 075 583	10/8/1971	Eastman Kodak Co.		
	92	FR 2 270 846	12/12/1975	Jacques et al.		
	93	FR 2 316 271	1/28/1977	Jacquet et al.		
	94	FR 2 320 330	3/4/1977	Calgon Corp.		Abstract
	95	FR 2 336 434	7/22/1977	Ciba-Geigy AG		Abstract
	96	FR 2 413 907	8/3/1979	Vanlerberghe et al.		
	97	FR 2 750 048	12/26/1997	Terranova et al.		
	98	FR 2 766 178 A1	1/22/1999	Genet et al.		Abstract
	99	GB 1 021 400	3/2/1966	L'Oreal		
	100	GB 1 458 377	12/15/1976	Bailey et al.	1	
	101	GB 2 239 265	6/26/1991	Rau et al.		
	102	JP 1-115048	5/8/1989	Akihiro		Abstract
	103	JP 58-42045	3/11/1983	Lestina		Abstract

FOREIGN PATENT DOCUMENTS						
Examiner Signature		Date Considered				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	104	JP 59-162548	9/13/1984	Sato et al.		
	105	JP 59-171956	9/28/1984	Sato et al.		
	106	JP 59-98437	6/6/1984	Vidal et al.		
	107	JP 59-99437	6/6/1984	Furutachi et al.		Abstract
	108	JP 60-172982	9/6/1985	Sato et al.		
	109	JP 60-190779	9/28/1985	Fuji Photo Film Co.		Abstract
	110	JP 60-33552	2/20/1985	Fuji Photo Film Co.		Abstract
	111	JP 60-43659	3/8/1985	Fuji Photo Film Co.		Abstract
	112	JP 62-279337	12/4/1987	Konishiroku Photo		Abstract
	113	JP 6-236011	8/23/1994	Konica Corp.		Abstract
	114	JP 7-244361	9/19/1995	Konica Corp.		Abstract
	115	JP 7-325375	12/12/1995	Konica Corp.		Abstract
	116	JP 7-36159	2/7/1995	Konica Corp.		Abstract
	117	JP 7-84348	3/31/1995	Konica Corp.		Abstract
	118	JP 7-92632	4/7/1995	Konica Corp.		Abstract
	119	JP 7-98489	4/11/1995	Konica Corp.		Abstract
	120	JP 88-169571				
	121	WO 95/01772	1/19/1995	Mockli		
	122	WO 95/15144	6/8/1995	Mockli		
	123	WO 98/01106	1/15/1998	Bittner et al.		Abstract
	124	WO 98/38175	9/3/1998	Rose et al.		
	125	WO 99/03819	1/28/1999	Genet		Abstract
	126	WO 99/17725	4/1/1999	De la Mettre et al.		Abstract
	127	WO 99/64417	12/16/1999	Gravestock	1	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation				

Examiner	Date	
Signature	Considered	

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Sheet

Complete if Known					
Application Number	10/612,986				
Filing Date	July 7, 2003				
First Named Inventor	Stephane SABELLE				
Art Unit	1624				
Examiner Name	Unassigned				
Attorney Docket Number	05725.1225-00000	-			

	NON PATENT LITERATURE DOCUMENTS	
128	Co-pending U.S. Application No. 09/959,913, filed Mar. 31, 2001, Atty. Docket No. 05725.0992	
129	Co-pending U.S. Application No. 10/397,245, filed Mar. 27, 2003, Atty. Docket No. 05725.1186.	
130	Co-pending U.S. Application No. 10/433,408, filed Jun. 4, 2003, Atty. Docket No. 05725.1217.	
131	Co-pending U.S. Application No. 10/433,411, filed Oct. 29, 2003, Atty. Docket No. 05725.1218.	
132	Co-pending U.S. Application No. 10/433,687, filed Jun. 5, 2003, Atty. Docket No. 05725.1214.	
133	Co-pending U.S. Application No. 10/433,688, filed Nov. 5, 2003, Atty. Docket No. 05725.1215	
134	Co-pending U.S. Application No. 10/433,689, filed Nov. 12, 2003, Atty. Docket No. 05725.1216	
135	Co-pending U.S. Application No. 10/603,831, filed Jun. 26, 2003, Atty. Docket No. 05725.1220.	
136	Co-pending U.S. Application No. 10/657,245, filed Dec. 9, 2003, Atty. Docket No. 05725.1241.	
137	E. Hannig et al., "Kurze Orginalmitteilungen", Die Pharmazie, p. 231, 1980.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known

Application Number 10/612,986

Filing Date July 7, 2003

First Named Inventor Stephane SABELLE

Art Unit 1624

Examiner Name Unassigned

Attorney Docket Number 05725.1225-00000

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
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	194	Office Action in co-pending Application No. 10/433,687 (Attorney Docket No. 05725.1214) dated September 14, 2004 (Ex. Elhilo)			

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